Break the Loop: Gender Imbalance in Music Recommenders

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ABSTRACT

As recommender systems play an important role in everyday life, there is an increasing pressure that such systems are fair. Besides serving diverse groups of users, recommenders need to represent and serve item providers fairly as well. In interviews with music artists, we identified that gender fairness is one of the artists' main concerns. They emphasized that female artists should be given more exposure in music recommendations. We analyze a widely-used collaborative filtering approach with two public datasets—enriched with gender information—to understand how this approach performs with respect to the artists' gender. To achieve gender balance, we propose a progressive re-ranking method that is based on the insights from the interviews. For the evaluation, we rely on a simulation of feedback loops and provide an in-depth analysis using state-of-the-art performance measures and metrics concerning gender fairness.

CCS CONCEPTS

• Information systems \rightarrow Recommender systems; • Humancentered computing;

KEYWORDS

Recommender systems, artists, music, gender balance, fairness, bias

ACM Reference Format:

Andres Ferraro, Xavier Serra, and Christine Bauer. 2021. Break the Loop: Gender Imbalance in Music Recommenders. In *Proceedings of the 2021 ACM SIGIR Conference on Human Information Interaction and Retrieval (CHIIR* '21), March 14–19, 2021, Canberra, ACT, Australia. ACM, New York, NY, USA, 6 pages. https://doi.org/10.1145/3406522.3446033

1 INTRODUCTION

Recommender systems influence the products we buy [36], the news we read [27], or how we interact with others [41]. The goal of a recommender system is to predict which items a user might like given the user's previous ratings or interactions with items. This may lead to situations where users only see a narrow subset of the entire range of available recommendations [49]. Users will respond to those recommendations, which will then be used as input for future recommendations; with this feedback loop, the recommender system will learn to recommend increasingly similar items [11, 14, 22, 49]. However, this strategy may have negative



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CHIIR '21, March 14–19, 2021, Canberra, Australia. © 2021 Copyright held by the owner/author(s). ACM ISBN 978-1-4503-8055-3/21/03. https://doi.org/10.1145/3406522.3446033 effects on other humans involved in and affected by recommender systems: the item providers [4]. When calling for fair recommender systems [16], we need to consider all humans that are affected.

In this work, we zoom in the music domain. Music recommender systems are important drivers in the music industry, and for music platforms in particular [5]. These systems may privilege the content of a small group of artists when maximizing user satisfaction. As a consequence, this limits some artists' chances to reach a larger audience particularly due to the feedback loop.

We reached out to artists to understand their perspective on *fair recommendations*. From the interviews, we understand that one of the major problems artists see in the music business is the gender imbalance. Gender bias in the music domain is subject of academic investigation (e.g., [43, 46, 51, 52]) and concerns about bias and discrimination are also repeatedly voiced in the media (e.g., [15, 34, 53]). One novel contribution from the interviews is that the artists believe that recommender systems could mitigate this issue.

We address this problem in two steps: First, we analyze a widelyused collaborative filtering approach concerning the artists' gender. Second, based on the insights from the interviews and the first step of the analysis, we propose a progressive re-ranking method to achieve gender balance. For the evaluation, we rely on a simulation of feedback loops to provide an in-depth analysis of the longitudinal effects considering state-of-the-art performance measures, and metrics concerning gender fairness.

2 RELATED WORK

There is a wealth of research on what is fair or unfair (for an overview, see Hutchinson and Mitchell [25]). Recently, fairness received particular attention in the field of artificial intelligence [30].

In recommender systems research, unwanted bias has long been a topic of interest [26]. For example, systems being optimized for accuracy may privilege popular items [8, 38, 48], which are not necessarily more satisfying for the users [29, 32]. While fairness for various consumer groups increasingly gains attention in recommender systems research (e.g., [17]), studies on fairness considering other stakeholders are scarce (e.g., [1]). Smith et al. [45] raise the fundamental question, "what is fair in the context of recommendation particularly when there are multiple stakeholders?" and conduct interviews with users to understand their ideas about fair treatment in recommendations, deriving common topics from the answers.

Gender bias has been of particular interest in research. Studies have shown that the design of software [6, 7, 9, 50] or websites [33] may introduce bias for users of different genders. Another thread of research investigates gender bias in algorithmic decision-making. For instance, Keyes [28] criticizes how gender is defined in extant works on automatic gender recognition because the current (implicit) understanding of gender and how it is implemented in such systems negatively affects transgender people. In recommender system research, studies have shown that algorithms perform differently for different demographic user groups [17] and differ in the (book authors') gender distribution in the computed recommendation lists [18]. Pierson [39] found that women are likely to oppose the inclusion of gender as a feature in course recommendation algorithms because such algorithms are less likely to recommend science courses to female students.

In the music business, many studies show discrimination and bias related to gender. For example, female artists are less likely to reach an audience only for being female [43], they are underrepresented in charts and awards nominations [46], and less radio air time is dedicated to females [52]. This bias is also present in streaming services [3, 19]. For example, the largest proportion of female and mixed-gender artists appear in the lower levels of popularity [19].

Recent works studied the role of music recommender systems on gender bias. For example, Oliveira et al. [37] investigate gender diversity in music recommendations, where they consider the type of artist (i.e., 'band', 'orchestra', 'solo', etc.) as a gender. Epps-Darling et al. [19] compare organically generated listening events with the ones that are algorithmically induced. Shakespeare et al. [44] compare the recommendations of multiple collaborative filtering approaches in terms of gender distribution and conclude that these methods propagate the gender bias that is present in the dataset.

Our work distinguishes from extant work in these aspects: (i) We incorporate the opinion of those people concerned (i.e., artists) in finding a solution for gender bias on music platforms. (ii) We propose a way to gradually mitigate this bias following the insights from the interviews with artists. (iii) We use a simulation approach considering feedback loops to understand the longitudinal effects.

3 INSIGHTS FROM INTERVIEWS

3.1 Participants, Material, and Focus

We conducted semi-structured interviews (with 11 guiding questions) with 9 artists that we consider diverse in the kind of music they perform (including folk, pop, punk-rock, dubstep, jazz, flamenco, progressive rock, hip-hop, and reggae), their popularity (2 globally known, 4 known within their country, 3 regionally popular), experience (4 to 25 years active in the music industry; 1 to 10 records released), age (26 to 55 years), and gender (2 female, 7 male). According to research practice [13, 35], the sample size is adequate and we reached a high level of thematic saturation [23] with the same topics being repeatedly mentioned across the interviews. Anonymity of data was guaranteed.

The recordings of the interviews amount to 420 minutes with a total of 33,669 words in the transcriptions. Following the methodology of Qualitative Content Analysis [31], we developed an annotation scheme inductively from raw data and annotated the transcriptions accordingly. In the interviews, the artists addressed a wide variety of topics (e.g., lack of control, context of music, transparency). In this work at hand, we focus on the parts of the interviews related to *gender fairness*. Specifically, we asked whether and how music platforms should intervene and nudge users concerning the music that they consume. Only in follow-up questions, we asked for concrete scenarios, and pointed to genres that are not widely consumed and gender representation.

3.2 Interview Results

In general, the interviewed artists had a strong tendency against influencing users concerning music style. One participant argues, "I don't see why we should tell the users which genres they should listen to." In sheer contrast to this, there was a clear agreement among all participants—even though the sample is male-dominated—that it is important to promote content of female artists to reach gender fairness. One participant argues, "I think there should be actions to correct some biases. The question is in which cases it should be corrected and in which not. In heavy metal music, I imagine that there aren't many female singers. Maybe we could give them more visibility, otherwise they would never be seen." Another artist suggests maintaining gender balance in the recommendations. He states, "[Platforms have] a huge responsibility in making recommendations."

A newcomer artist, who argues against purposefully influencing users concerning the genres that they listen to, voices the need to do so concerning gender balance: "[...] the population of the world is 50% women. So it would be ridiculous if the system wouldn't recommend them." This artist suggests a progressive change towards gender balance, "otherwise the users could perceive it as something bad and leave the platform." Another artist proposes to enforce a 50% gender balance, because many other factors than gender (e.g., the music style) define whether a user will like a recommendation. Another artist argues for 50% of female music, and likewise 20–30% of local artists, and suggests to consider also proportions for other minorities (e.g., ethnicity, sexual orientation). Finally, an artist postulates that every recommendation influences a user in some way or other: "It is impossible to be impartial; so it is better to do it as equally as possible."

In summary, the interview results suggest that the artists are concerned about gender fairness. The artists voiced that the recommendations could be used as a means to change the consumers' listening behavior by promoting content of female artists and suggest gender balance in the recommendations (i.e., *positive disparate treatment*). Yet, they emphasize to increase this proportion only gradually until gender balance is reached to avoid reactance.

4 QUANTITATIVE APPROACH

We build on the interview results (Section 3) with a two-part quantitative analysis. In the first part, we evaluate a recommendation algorithm that is widely used in the music domain with respect to gender fairness. For this evaluation, we device two large real-word datasets of music listening events (Section 4.1). The goal of this analysis is to understand (i) how the datasets are distributed in terms of the artists' gender and (ii) how the algorithm performs for those distributions with respect to gender fairness.

As collaborative filtering is the prevalent approach for recommendations in the music domain, we choose the Alternating Least Square (*ALS*) algorithm [24] for our analysis. As the number of tracks per artist may vary per gender both in the dataset as well as in the recommendations, we evaluate—where possible—for both, recommendations on the artist level (*Last.fm 360K* dataset and *LFM-1b* dataset) as well as recommendations on the track level (*LFM-1b* dataset). In addition, we compare *ALS* with two baselines, one that generates random recommendations (*RND*) and one that recommends the same most popular items to all the users (*POP*). We use a simulation to mimic feedback loops to study how the recommendations can affect user behavior in the longer term, following the procedure used in previous works [21, 26, 55]. For each user, we take the system's recommendations and increase the counter in the original user–artist matrix, simulating that the users listened to all items recommended by the system in the top-10. We then retrain the model and compute recommendations for the next iteration, repeatedly for a total of 20 iterations. We demonstrate that by employing a (simple) re-ranking mechanism, we can break the feedback loop and gradually increase the exposure of female artists. We provide an in-depth analysis, using a set of metrics and compare the re-ranking mechanism to the baseline without re-ranking.

4.1 Datasets

We use two public datasets obtained from Last.fm. LFM-1b [42] is a large dataset of more than one billion listening events containing playcounts with timestamp by 120K users covering 32M tracks by 3M artists. The second dataset is LFM-360k [10], which contains 17M interactions between users and artists (359K users and 260K artists). We extend the datasets with gender information of the artists collected from MusicBrainz.org (MB). For complexity reduction, we focus on 'solo' artists-thus, where the artist is an individual person-and consider those artists for which MB reports the gender (in MB: female or male). While we are aware that this binary gender classification is inapt to reflect the multitude of gender identities [47], to the best of our knowledge, there is no dataset that goes beyond this binary gender classification. For LFM-1b, we collected the gender of 64, 745 artists, whereof 15, 055 are classified female and 49, 690 are male. For LFM-360k, we collected gender information for 46, 469 artists, whereof 10, 535 are female and 35, 922 are male [20]. Note, the gender imbalance in the datasets reflect the current reality in the music business [19, 54].

We consider only users and tracks or artists, respectively, with more than 30 interactions to have sufficient data for training and evaluation. Thus, we remain with the following data: For *LFM-1b*, we have 112, 291 users and 465, 064 tracks by 33, 325 artists, and for *LFM-360k*, we have 220, 444 users and 12, 900 artists. For both datasets, we split in train and test set by randomly selecting for each user 80% of the items for training and 20% for test.

4.2 Metrics

We apply several metrics to understand the system' behavior from different perspectives. We use metrics that assess the probability of female artists being recommended. We particularly focus on the position in the recommendation rankings because users interact more frequently with only the top-ranked items (i.e., position bias) [12]. To this end, we average for each user the *position of the first occurrence of content by a female* (with the highest rank on position 0) in the recommendation ranking and the *percentage of content by females* in the recommendations. We use *Hellinger distance* (as in [2]) to measure the similarity of the gender distribution in the recommendations compared to the users' original listening behavior. With *Coverage*, we measure the number of different artists (or tracks) globally recommended (differentiated by gender).

We use precision and nDCG [40] to measure the accuracy of the algorithms. We report precision for all recommendations and also

Table 1: Results for artist recommendation (both datasets).

	Algo	Avg po 1st female	sition 1st male	% females rec.	Hellinger distance	Preci P@1	sion P@10	nDCG @10
LFM-1b	ALS	6.7717	0.6142	25.44	0.0988	0.4505	0.2997	0.3409
	POP	0.1325	1.7299	32.44	0.1577	0.1033	0.0919	0.1118
	RND	3.3015	0.3046	23.30	0.1346	0.0010	0.0010	0.0019
LFM-360k	ALS	8.3165	0.7136	26.27	0.2102	0.1781	0.0863	0.2804
	POP	0.9191	0.2713	29.31	0.2670	0.0247	0.0205	0.0978
	RND	3.3973	0.2951	22.77	0.2597	0.0003	0.0003	0.0025

separately by gender. Given a track (t) and a user (u), hit@K(t, u) returns 1 only if t is recommended in the top-K to the user u and is in the test set for that user. We follow these steps: 1) Generate ranked recommendations for user u, referred to as A; 2) divide items in A by the artists' gender into F for female and M for male; 3) for each user, the precision is computed as: $P@k = \frac{1}{|K|} \sum_{t \in T} hit@K(t, u)$, where the group of items J corresponds to: A when we compute $P@K_{all}$, F when we compute $P@K_{female}$ and M when we compute $P@K_{male}$. Thus, $P@K_{female}$ and $P@K_{male}$ add up to $P@K_{all}$.

5 GENDER IN MUSIC RECOMMENDATION

In this section, we report the performance of the algorithms with respect to gender fairness. We analyze the recommendations on the artist level using both datasets—LFM-1b and LFM-360k—(Section 5.1), and on the track level using the LFM-1b dataset (Section 5.2). Finally, we present the results of the simulation of artist recommendations using the LFM-1b dataset (Section 5.3). Note that for all analyses we run the experiments three times and see stable results in all cases.¹

5.1 Gender Fairness on the Artist Level

Table 1 summarizes the results of the analysis on the artist level, considering the top-10 artists recommended by the algorithms.

Except for POP using LFM-1b (0.1325 vs. 1.7299), the average position of the first female artists is lower than the one of the first male artist. Compared to the baselines, ALS delivers the largest gender gap, which is even larger using LFM-360k compared to LFM-1b (LFM-360k: 8.3165 vs. 0.7136; LFM-1b: 6.7717 vs. 0.6142, for average first position of female vs. male artists, respectively).

Using ALS and LFM-1b, 25.44% of the recommendations are female artists, which is close to what is reflected in the users' previous listening behavior (25.26%); thus, indicating statistical parity. For both datasets, the Hellinger distance suggests that the recommendations computed via ALS are the closest to the gender distribution as reflected in the users' previous listening behavior.

The last three columns of Table 1 show the performance of the analyzed algorithms. For each of the datasets, the parameters of *ALS* were optimized to provide a higher precision. Consequently, we used a 300-dimensional space in *LFM-1b*, and a 200-dimensional space in *LFM-360k*. In both cases, the results clearly suggest that although *POP* gives better results concerning gender fairness, the performance with respect to precision and nDCG are below *ALS*.

An additional analysis for coverage (considering the top-10 recommendations for each user using *LFM-1b*) shows a far lower coverage using *POP* compared to *ALS* (336 vs. 15, 194 unique artists appearing in the top-10). Likely, the low coverage using *POP* is not in the interest of the overall artist population.

¹https://github.com/andrebola/gender-recs

Tuble 2. Results of truck recommendation (Lini 10).	Table 2: F	Results of	track	recommendation	(LFM-1b)	
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Algo	Avg position		% females	Hellinger	Preci	sion	nDCG
	1st female	1st male	rec.	distance	P@1	P@10	@100
ALS	24.9162	4.6993	28.99	0.1374	0.4730	0.3237	0.2392
POP	0.8726	0.8239	66.66	0.3404	0.0509	0.0310	0.0239
RND	3.6422	0.2819	21.72	0.1507	0.0002	0.0002	0.0002

Table 3: Performance of track recommendation (LFM-1b).

Algo	P@1		P@10		nDCG@100	
-	female	male	female	male	female	male
ALS POP	0.1701	0.3176	0.2193	0.1142	0.1323	0.1802
RND	0.0001	0.0002	0.0001	0.0002	0.0001	0.0002

5.2 Gender Fairness on the Track Level

Table 2 summarizes the results on the track level considering the top-100 recommendations of the algorithms, using the *LFM-1b* dataset. *ALS* shows a large gender gap in the average first position (4.6993 vs. 24.9162 for male vs. female artists, respectively); by far larger than on the artist the level. Using *RND* provides a similar picture as on the artist level, and using *POP* results in similar positions for male and female artists when analyzed on the track level.

Overall, *ALS* recommends slightly more content by female artists compared to the users' listening behavior in the given dataset (28.99% vs. 25.33%). *POP* delivers a far higher percentage of content by female artists (66.66%) compared to other approaches. The Hellinger distance indicates that *ALS* delivers recommendations that are the closest to the gender distribution as reflected in the users' previous listening behavior. Also *RND* comes close to the original distribution, while *POP* does not.

While the last three columns of Table 2 present the performance metrics for all artists, we show these metrics differentiated by the artists' gender in Table 3. The results suggest for all precision metrics as well as for the ranking quality (nDCG) that lower performance is achieved for recommended female artists than for male artists when using the *ALS* algorithm. Using *POP* flips those results.

An additional analysis shows that the recommendations generated with *POP* cover the limited number of 130 tracks by female artists, compared to 18, 825 tracks with *ALS* and 100, 722 with *RND*.

5.3 Simulating Feedback Loops

We propose an ad-hoc approach to improve the exposure of female artists by penalizing male artists by moving them λ positions in the ranking. We study the impact of different values for λ on the exposure of female artists in the long term. Thereby, $\lambda = 0$ represents the baseline ALS without re-ranking. To this end, we use recommendation on the artist level and simulate the interaction of users with the top-10 recommendations for each iteration. We visualize two different aspects of exposure: First, Figure 1a shows the difference between the average first position of female and male artists for each iteration. Increasing λ gives a more balanced exposure to female artists compared to the baseline without re-ranking ($\lambda = 0$). Depending on how fast the change is desired, different values of λ may be preferred. Second, Figure 1b shows the evolution of the average percentage of female artists across the iterations for the different values of λ , and compares those to the consumers' listening behavior according to the LFM-1b dataset. Compared to the users'



(a) Avg. difference between the first position of female and male artist.

(b) Percentage of female artists recommended and originally listened.

Figure 1: Simulation of the exposure of female and male artists in the recommendations using different values of λ . current listening behavior, using $\lambda = 7$, the percentage increases by almost 2 percentage points, whereas with $\lambda = 20$, it increases by more than 6 percentage points. Considering both views on gender fairness (Figures 1a and 1b) provides a good basis to decide on a λ value. Using $\lambda = 7$ achieves a good balance in the long-term, which is aligned with the idea expressed by the artists (see Section 3.2) of progressively inducing a change in the behavior to a balanced exposure of female and male artists. An even higher exposure of female artists could be achieved with $\lambda > 7$.

To investigate the potential performance loss when increasing the exposure of female artists, we compare the prediction accuracy achieved with the baseline *ALS* without re-ranking (i.e., $\lambda = 0$) and those achieved with different values for λ for each iteration. Our analysis suggests that, in comparison to the baseline ($\lambda = 0$), the nDCG@10 is, on average, reduced by 2.2% for $\lambda = 5$, 4.9% for $\lambda = 7$, 6.7% for $\lambda = 10$, and 15.0% for $\lambda = 20$.

In addition, we analyzed the intervention of the re-ranking by looking at the average number of items that are re-ranked for each user in each iteration. Results suggest that the number of re-ranked items decreases with increasing iterations. In short, *ALS* starts recommending more females over time compared to the initial recommendations, and the effect of the feedback loop decreases once the users start changing their behavior.

6 CONCLUSION

In this work, we build on interviews with music artists, which suggest that artists would like to see balanced recommendations in terms of the artists' gender. Motivated by this finding, we investigated the effects of a collaborative filtering approach (here: *ALS*) on gender fairness. Results suggest that there is a considerable difference with respect to the average first position of female and male artists in the recommendation ranking. In short, the exposure of content by female and male artists is not balanced.

We follow the interviewed artists' expressed request to gradually give more exposure to female artists and propose a simple re-ranking approach. By simulating the feedback loop, we show that gender can be better balanced in a longer term when gradually increasing the exposure of female artists in the recommendations. This balance is achieved without severely affecting performance.

Future research should investigate alternative algorithms. A crucial path of research will be to study how consumers perceive the changes introduced by the re-ranking strategy in a real-world setting and how it impacts their listening behavior in the long-term.

ACKNOWLEDGMENTS

This research was partially supported by Kakao Corp.

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